

SEC Rule 15c2-6 Client Suitability Form

This form supplements information contained on your Customer Agreement Form for purposes of determining your suitability for purchases of certain low-priced securities as required by Rule 15c2-6 of the Securities Exchange Act of 1934.

Customer Name: _____
Account Number: _____
Address: _____

Age: _____ Approximate Net Worth _____ Approximate Net Worth
(exclusive of family residence)

Approximate Annual Income _____ Occupation _____ Est. Liquid Net Worth
(cash, securities, other)

Married Yes _____ No _____ Divorced _____ No. of Dependents _____

INVESTMENT OBJECTIVES: (Check one or more)

_____ Speculation/High Risk _____ Safety of Principal _____ Trading profits
_____ Capital Growth _____ Income _____ Fix Income

Previous Investment Experience – No. of Years Experience

1. Stocks

a. Listed _____

b. OTC _____

c. Penny _____

2. Options _____

3. Bonds _____

4. Other _____

Under SEC Rule 15c2-6, we can not effect any transaction involving low-priced securities in your account until this client suitability form is received by you and executed by you and returned to us.

X

SIGNATURE: _____

DATE: _____

**ACKNOWLEDGMENT OF PENNY STOCK RISK
DISCLOSURE DOCUMENT
COMPLIANCE WITH S.E.C. RULE 15G-2**

I hereby acknowledge that I have read the Penny Stock Risk Disclosure Document and understand the risk involved in trading a designated security. If I have any questions regarding this document or designated securities in general, I will contact my Fortune Securities, Inc. registered representative for clarification.

CUSTOMER NAME: _____

ACCOUNT NUMBER: _____

X
SIGNATURE: _____ DATE: _____

JOINT PARTY SIGNATURE: _____ DATE: _____
(if applicable)

Please return to:
Fortune Securities, Inc.
388 East Valley Blvd., Suite 208, Alhambra, CA 91801