

Fortune Securities, Inc.

Member FINRA/MSRB/SIPC

Account Number _____

FA/Rep ID _____ Alternate Branch _____

NEW ACCOUNT FORM

☐ New ☐ Update

ACCOUNT OWNERSHIP INFORMATION			
Account Type <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> Joint WROS <input type="checkbox"/> Joint TIC <input type="checkbox"/> Custodian <input type="checkbox"/> Retirement <input type="checkbox"/> Trust <input type="checkbox"/> Entity <input type="checkbox"/> Estate <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Corporation <input type="checkbox"/> Investment Club <input type="checkbox"/> Non-Profit <input type="checkbox"/> Partnership <input type="checkbox"/> Custodial Qualified Plan <input type="checkbox"/> Other			
Name and Address of Primary Account Owner/Trustee		Name and Address of Joint Account Owner/Co-Trustee	
Date of Birth	SSN	Date of Birth	SSN
Home Phone	Business Phone	Home Phone	Business Phone
Mobile Phone	Fax Number	Mobile Phone	Fax Number
Citizenship	Marital Status	Citizenship	Marital Status
Email Address		Email Address	
Primary ID Document <input type="checkbox"/> Driver's License <input type="checkbox"/> Passport <input type="checkbox"/> State I.D. <input type="checkbox"/> Photo I.D. <input type="checkbox"/> Other I.D.		Primary ID Document <input type="checkbox"/> Driver's License <input type="checkbox"/> Passport <input type="checkbox"/> State I.D. <input type="checkbox"/> Photo I.D. <input type="checkbox"/> Other I.D.	
Primary I.D. Document Number	Issue Date	Primary I.D. Document Number	Issue Date
Issuer Name	State/Country Issued By	Issuer Name	State/Country Issued By
Expiration Date	Occupation	Expiration Date	Occupation
Employer Name and Address		Employer Name and Address	
ADDITIONAL OWNERS (IF APPLICABLE)			
Name of Additional Owner		Date of Birth	
Relationship to Primary		SSN	
Citizenship		Occupation	

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ADDITIONAL CLIENT INFORMATION		
Is either party or an immediate family member affiliated with or employed by another Broker/Dealer member firm? If yes , indicate the firm and position. (Proper authorization must be obtained from the member firm).		
<input type="checkbox"/> Yes <input type="checkbox"/> No		
Is either party or an immediate family member any of the following: director, shareholder with 10% or more of the stock, or a policy-making executive officer of a publicly traded company? If yes , indicate company and position.		
<input type="checkbox"/> Yes <input type="checkbox"/> No		
Number of Years as an Investor	Number of Dependents (including self)	Known Financial Advisor Since
Investment Experience. Please check the products/strategies that best reflect your investment experience to date. <input type="checkbox"/> None <input type="checkbox"/> Bonds <input type="checkbox"/> Options <input type="checkbox"/> Margin <input type="checkbox"/> Active Short-Term Trading <input type="checkbox"/> Inverse/Leveraged Products <input type="checkbox"/> Stocks <input type="checkbox"/> Mutual Funds <input type="checkbox"/> Annuities <input type="checkbox"/> Futures <input type="checkbox"/> Exchange Traded Funds <input type="checkbox"/> Alternative Investments		
Is the account traded by a third party? If yes, please state the name/address of third party agent. *		
<input type="checkbox"/> Yes <input type="checkbox"/> No		
If the account is traded by a third party, check if they receive:		
<input type="checkbox"/> Duplicate Confirmations <input type="checkbox"/> Duplicate Statements		
Account Opened in Person?	<input type="checkbox"/> Yes <input type="checkbox"/> No	Initial Order Solicited?
		<input type="checkbox"/> Yes <input type="checkbox"/> No
Deposit Type	<input type="checkbox"/> Cash <input type="checkbox"/> Securities	Transaction
		<input type="checkbox"/> Deposit <input type="checkbox"/> Purchase <input type="checkbox"/> Pending <input type="checkbox"/> Sale
Quantity Security	Name	
Investment Time Horizon. When do you expect to cease accumulating assets in this account, and begin withdrawing significantly from the principal?		
<input type="checkbox"/> Less than 1 year <input type="checkbox"/> 4 to 6 years <input type="checkbox"/> 9 to 11 years <input type="checkbox"/> 1 to 3 years <input type="checkbox"/> 7 to 8 years <input type="checkbox"/> 12 years or more		
Liquidity Needs. On an annual basis, what are your expected withdrawal needs from this account?		
<input type="checkbox"/> Less than \$1,000 <input type="checkbox"/> \$10,000 to \$49,999 <input type="checkbox"/> \$100,000 to \$249,999 <input type="checkbox"/> \$1,000 to \$9,999 <input type="checkbox"/> \$50,000 to \$99,999 <input type="checkbox"/> \$250,000 +		
Typical Investment Holding Period		
<input type="checkbox"/> Less Than 1 year <input type="checkbox"/> 4 to 6 years <input type="checkbox"/> 9 to 11 years <input type="checkbox"/> 1 to 3 years <input type="checkbox"/> 7 to 8 years <input type="checkbox"/> 12 years or more		
Investment Decision Making. How involved are you in making your investment decisions?		
<input type="checkbox"/> I make my own investment decisions and/or consult with someone other than my Financial Advisor. <input type="checkbox"/> I discuss investment decisions with my Financial Advisor, but I also consult other sources of financial information and/or discuss with other parties. <input type="checkbox"/> I rely on the guidance of my Financial Advisor most of the time. <input type="checkbox"/> I follow the recommendations of my Financial Advisor all of the time. <input type="checkbox"/> This is my first investment account other than my employer sponsored retirement program.		
Source of funds		
<input type="checkbox"/> Business/Self-employment <input type="checkbox"/> Gift/Inheritance <input type="checkbox"/> Investment Income <input type="checkbox"/> Sale of Asset <input type="checkbox"/> Savings <input type="checkbox"/> Settlement <input type="checkbox"/> Wages/Income		
Account Source		
<input type="checkbox"/> Cold Call <input type="checkbox"/> Client Referral <input type="checkbox"/> New Rep Transfer <input type="checkbox"/> Existing Client <input type="checkbox"/> Seminar <input type="checkbox"/> Walk-in/Call-in <input type="checkbox"/> Personal Acquaintance		
CLIENT ADDRESS OF RESIDENCE		
Street		Apartment/Suite
City, State/Province, Postal Code		
Country		

* A trading authorization form may be required.

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FINANCIAL INFORMATION

Annual Income *(all sources)*

- | | | |
|--|--|---------------------------------------|
| <input type="checkbox"/> Less than \$50,000 | <input type="checkbox"/> \$300,000 - 399,999 | <input type="checkbox"/> \$1,000,000+ |
| <input type="checkbox"/> \$50,000 - 99,999 | <input type="checkbox"/> \$400,000 - 499,999 | |
| <input type="checkbox"/> \$100,000 - 199,999 | <input type="checkbox"/> \$500,000 - 749,999 | |
| <input type="checkbox"/> \$200,000 - 299,999 | <input type="checkbox"/> \$750,000 - 999,999 | |

Net Worth – excluding home *(combined if joint account)*

- | | |
|--|--|
| <input type="checkbox"/> Less than \$100,000 | <input type="checkbox"/> \$500,000 - 999,999 |
| <input type="checkbox"/> \$100,000 - 249,999 | <input type="checkbox"/> \$1,000,000 - 2,999,999 |
| <input type="checkbox"/> \$250,000 - 499,999 | <input type="checkbox"/> \$3,000,000 + |

Joint Owner's Annual Income *(all sources)*

- | | | |
|--|--|---------------------------------------|
| <input type="checkbox"/> Less than \$50,000 | <input type="checkbox"/> \$300,000 - 399,999 | <input type="checkbox"/> \$1,000,000+ |
| <input type="checkbox"/> \$50,000 - 99,999 | <input type="checkbox"/> \$400,000 - 499,999 | |
| <input type="checkbox"/> \$100,000 - 199,999 | <input type="checkbox"/> \$500,000 - 749,999 | |
| <input type="checkbox"/> \$200,000 - 299,999 | <input type="checkbox"/> \$750,000 - 999,999 | |

Liquid Net Worth *(combined if joint account)*

- | | |
|--|--|
| <input type="checkbox"/> Less than \$100,000 | <input type="checkbox"/> \$500,000 - 999,999 |
| <input type="checkbox"/> \$100,000 - 249,999 | <input type="checkbox"/> \$1,000,000 - 2,999,999 |
| <input type="checkbox"/> \$250,000 - 499,999 | <input type="checkbox"/> \$3,000,000 + |

Estimated Tax Bracket

- | | | | | | |
|--------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|-----------------------------------|
| <input type="checkbox"/> 0-10% | <input type="checkbox"/> 11-15% | <input type="checkbox"/> 16-25% | <input type="checkbox"/> 26-28% | <input type="checkbox"/> 29-33% | <input type="checkbox"/> Over 33% |
|--------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|-----------------------------------|

INVESTMENT OBJECTIVE/RISK TOLERANCE (CHOOSE ONE INVESTMENT OBJECTIVE AND ONE RELATED RISK TOLERANCE)

- ☐ **Preservation of Principal/Income** — Focus is on preserving principal and generating current income.
- ☐ I am willing to accept **minimal risk**, even if that means my investment does not generate significant income or returns and may not keep pace with inflation.
 - ☐ I am willing to accept **low risk**, including low volatility, and understand I could lose a modest amount of my investment.
- ☐ **Balanced Growth** — Focus is on generating current income and/or long-term capital growth.
- ☐ I am willing to accept **minimal risk**, even if that means my investment does not generate significant income or returns and may not keep pace with inflation.
 - ☐ I am willing to accept **low risk**, including low volatility, and understand I could lose a modest amount of my investment.
 - ☐ I am willing to accept **moderate risk**, including some volatility, to seek higher returns and understand I could lose a portion of my investment.
- ☐ **Growth** — Focus is on generating long-term capital growth.
- ☐ I am willing to accept **low risk**, including low volatility, and understand I could lose a modest amount of my investment.
 - ☐ I am willing to accept **moderate risk**, including some volatility, to seek higher returns and understand I could lose a portion of my investment.
 - ☐ I am willing to accept **high risk**, including high volatility, and understand I could lose a substantial amount of my investment.
- ☐ **Aggressive Growth/Aggressive Income** — Focus is on generating growth and/or income at greater than market rates.
- ☐ I am willing to accept **moderate risk**, including some volatility, to seek higher returns and understand I could lose a portion of my investment.
 - ☐ I am willing to accept **high risk**, including high volatility, and understand I could lose a substantial amount of my investment.
 - ☐ I am willing to accept **maximum risk** and understand I could lose all of my investment.
- ☐ **Speculation** — Focus is on generating maximum possible returns.
- ☐ I am willing to accept **high risk**, including high volatility, and understand I could lose a substantial amount of my investment.
 - ☐ I am willing to accept **maximum risk** and understand I could lose all of my investment.

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STANDING INSTRUCTIONS

Buy

☐ Hold in Firm Name — Default ☐ Transfer and Ship ☐ Transfer and Hold

Sell

☐ Hold Proceeds in Account — Default ☐ Send Proceeds (Net Sell) ☐ Send Proceeds as Designated (No Netting)

Dividend/Interest Payments

☐ Hold Funds — Default ☐ Send ☐ Reinvest

CLIENT ACKNOWLEDGEMENT AND AGREEMENT

1. I confirm that I am at least 18 years of age and of full legal age in my state of residence.
2. I acknowledge that I have received the Customer's Agreement and agree to abide by its terms as currently in effect or as they may be amended from time to time. If this account is a retirement plan using Wedbush Securities Inc., Member FINRA/SIPC, plan documents, I acknowledge I have received the applicable plan disclosure documents.
3. **I understand that this account is being governed by the pre-dispute arbitration provision on page six and seven in section 1.13 and 1.14 of the Customer's Agreement.**

SIGNATURES

By signing below, I certify that the information provided on this form is true, correct and complete. All persons must sign if this is a joint account.

Primary Account Owner/Trustee Signature	Date	Print Name of Primary Account Owner/Trustee
Joint Tenant/Co-Trustee Signature	Date	Print Name of Joint Tenant/Co-Trustee
Joint Tenant/Co-Trustee Signature	Date	Print Name of Joint Tenant/Co-Trustee
Joint Tenant/Co-Trustee Signature	Date	Print Name of Joint Tenant/Co-Trustee
Approved By: Financial Advisor	Date	Firm Principal Date

INTERNET GAMBLING ATTESTATION (REQUIRED FOR ALL ENTITY ACCOUNTS)

If I am signing on behalf of an entity, I represent that neither the entity nor any entity controlling, controlled by or under common control with the entity is engaged in an Internet Gambling Business. For purposes of this representation, "Internet Gambling Business" shall mean the business of placing, receiving or otherwise knowingly transmitting a bet or wager by any means which involves the use, at least in part, of the Internet.

Signature

Date

DISCLOSURE OF ACCOUNT INFORMATION

Rule 14B-1(c) of Securities Exchange Act requires to provide your name, address and securities positions to each requesting company whose securities Wedbush Securities Inc., Member FINRA/SIPC, holds for your account unless you object to such disclosure. The Rule prohibits companies from using any information obtained for any purpose other than communication with you.

If you object to the disclosure of your name, address and securities positions, you must initial here:

Initials

Date

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NEW ACCOUNT FORM

CUSTOMER'S AGREEMENT

1. GENERAL PROVISIONS In consideration for your brokerage firm, Fortune Securities, Inc. (the "Fortune Securities") and/or your clearing firm, Wedbush Securities Inc. ("Wedbush Securities"), opening or maintaining one or more accounts (the "Account") for the undersigned (the "Customer"), the Customer agrees to the terms and conditions contained in this Agreement. For purposes of this Agreement, "securities and other property" shall include, but is not limited to, money, shares of stock, scripts, stock warrants or rights, bonds, put and call options, notes, debentures, and other evidence of indebtedness, whether secured or unsecured, and the security therefore and all other property of every kind and nature and contracts for property usually and customarily dealt with on exchange, markets or by stock brokerage firms, whether in certificate or book entry form. This definition includes securities or other property currently or hereafter held, carried or maintained by you or by any of your affiliates, in your possession or control, or in the possession or control of any such affiliate, for any purpose, in and for any of the Customer's Accounts now or hereafter opened, including the Account in which the Customer may have an interest.

1.1 WEDBUSH SECURITIES AS CLEARING FIRM Wedbush Securities carries the Customer's account as a clearing firm pursuant to Clearing Agreement with the Customer's brokerage firm. The nature of the relationship between Wedbush Securities and the Customer's brokerage firm is set forth in a written Clearing Agreement. This Agreement allocates certain responsibilities and the performance of various functions with respect to your account between the Customer's brokerage firm and the Clearing Firm. Under the terms of the Clearing Agreement, the Customer's brokerage firm's responsibilities and obligations include, but are not limited to, determining the Customer's suitability for securities transactions entered into with the brokerage firm.

In cases where the Customer has a margin account(s) and under the terms of the Clearing Agreement, the Customer's brokerage firm's responsibilities and obligations include, but are not limited to, determining the Customer's suitability for margin transactions. Wedbush Securities' responsibilities as obligations as Clearing firm/margin lender include, but are not limited to, the general regulation of credit extensions based solely upon the collateral in the Customer's margin account. Wedbush Securities does not determine the Customer's suitability for securities transactions. Additionally, the Customer is liable to Fortune Securities and Wedbush Securities for any deficiency in the Customer's Account.

1.2 APPLICABLE RULES AND REGULATIONS All transactions in the Customer's Account shall be subject to the applicable rules and regulations of all applicable Federal and State regulatory agencies and self-regulatory organizations, Board of Governors of the Federal Reserve System and the constitution, rules, regulation, customs and usages of the exchange or market, and its clearing house, if any, where the transactions are executed by Fortune Securities and/or Wedbush Securities or their agents, including their subsidiaries and affiliates.

1.3 AGREEMENT CONTAINS ENTIRE UNDERSTANDING/ASSIGNMENT This Agreement contains the entire understanding the Customer and Fortune Securities and/or Wedbush Securities concerning the subject matter of this Agreement. The Customer may not assign the rights and obligations hereunder without first obtaining the prior written consent of Fortune Securities and/or Wedbush Securities.

1.4 SEVERABILITY If any provision of this Agreement is held to be invalid, void or unenforceable by reason of any law, rule, administrative order or judicial decision, that determination shall not affect the validity of the remaining provisions of this Agreement.

1.5 WAIVER Except as specifically permitted in this Agreement, no provision of this Agreement can be, nor be deemed to be, waived, altered, modified or amended unless such is agreed to in writing and signed by Fortune Securities and/or Wedbush Securities.

1.6 DELIVERY OF SECURITIES Without invalidating any of Fortune Securities' and/or Wedbush Securities' rights under any other portion of this Agreement and subject to any indebtedness of the Customer to Fortune Securities and/or Wedbush Securities, the Customer is entitled, upon appropriate demand, to receive physical delivery of fully paid securities in the Customer's Account(s).

1.7 LIENS Subject to the provisions of applicable statutes and regulations, all securities and other property of the Customer in any Account in which the Customer has an interest shall be subject to a lien for the discharge of any and all indebtedness or any other obligation of the Customer to Fortune Securities and/or Wedbush Securities. All securities and other property of the Customer shall be held by Fortune Securities and/or Wedbush Securities as security for the payment of any such obligations or indebtedness to Fortune Securities and/or Wedbush Securities in any Account that the Customer may have in interest, and Fortune Securities and/or Wedbush Securities subject to application law may, at any time and without prior notice to the Customer, use and/or transfer any or all securities and other property interchangeably in any Account(s) in which the Customer has an interest.

1.8 FORTUNE SECURITIES AND/OR WEDBUSH SECURITIES AS AGENT The Customer understands that Fortune Securities and/or Wedbush Securities are acting as the Customer's agent, unless Fortune Securities and/or Wedbush Securities notify the Customer, in writing before the settlement date for the transaction, that Fortune Securities and/or Wedbush Securities are acting as a dealer for its own account or as agent for some other person.

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1.9 CONFIRMATIONS AND STATEMENTS Confirmations of transactions and statements for the Customer's Account(s) shall be binding upon the Customer if the Customer does not object in writing, within ten days after receipt by the Customer, notices or other communications delivered or mailed to the address provided by the Customer shall (unless and until Fortune Securities and/ or Wedbush Securities have received notice in writing of a different address) be deemed to have been personally delivered to the Customer whether actually received or not.

Customer have an account that is shared by two or more customers at the same address that also have the same last name, or that we reasonably believe to be in the same family, we will mail a single prospectus, annual report or other shareholder document to the address.

In addition, where two or more customers share the same address, we may consolidate some or all of the account statements, summaries, and other account documents for such customer accounts in the same envelope. This practice is known as "householding". Accounts may be added to or excluded from householding as customers move to or from the address to which the household account statements were sent. Additionally the individual selected to receive the household account statement may also change over time. If you wish to opt out of householding and receive statements for each account in separate envelopes, please contact your brokerage firm.

1.10 SUCCESSORS The Customer hereby agrees that this Agreement and all the terms thereof shall be binding upon the Customer's heirs, executors, administrators, personal representatives and assigns. This Agreement shall inure to the benefit of Fortune Securities' and/or Wedbush Securities' present organization, and any successor organization or assignees, irrespective of any change or changes at any time in the personnel thereof, for any cause whatsoever.

1.11 CHOICE OF LAWS This agreement shall be deemed to have been made in the State of California and shall be construed, and the rights and liabilities of the parties determined, in accordance with the laws of the State of California.

1.12 CAPACITY TO CONTRACT, CUSTOMER AFFILIATION By signing below, the Customer represents that he/she is not an employee of any exchange, or of any corporation of which any exchange owns a majority of the capital stock, or of a member of any exchange, or of a member firm or member corporation registered on any exchange, or of a bank, trust company, insurance company or of any corporation, firm or individual engaged in the business of dealing, either as Fortune Securities or as principal, in securities, bills of exchange, acceptances or other forms of commercial paper, and that the Customer will promptly notify Fortune Securities and/or Wedbush Securities in writing if the Customer is now or becomes employed. The Customer also represents that no one except the Customer has an interest in Account(s) of the Customer with Fortune Securities and/or Wedbush Securities.

1.13 ARBITRATION DISCLOSURES

1.13.1 Arbitration is final binding on the parties.

1.13.2 The parties are waiving their right to seek remedies in court, including the right to jury trial.

1.13.3 Pre-arbitration discovery is generally more limited than and different from court proceedings.

1.13.4 The arbitrator's award is not required to include factual findings or legal reasoning and any party's right to appeal or to seek modification of rulings by the arbitrators is strictly limited.

1.13.5 The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.

1.14 ARBITRATION

1.14.1 The Customer and Fortune Securities agree, and by acting as clearing broker/margin lender, Wedbush Securities agrees, that all controversies which may arise between the parties concerning any transaction or the construction, performance, or breach of this or any other agreement between the parties pertaining to securities and other property, whether entered into prior, on or subsequent to the date thereof, shall be determined by arbitration. Any arbitration under this Agreement shall be conducted pursuant to the Federal Arbitration Act and the laws of the State designed in paragraph 1.11, before the American Arbitration Association, or before the New York Stock Exchange, Inc, or an arbitration facility provided by any other exchange of which Fortune Securities and/or Wedbush Securities are members, or the Financial Industry Regulatory Authority, Inc. or the Municipal Securities Rulemaking Board and in accordance with the rules obtaining of the selected organization. The Customer may elect in the first instance whether arbitration shall be by the American Arbitration Association, or by an exchange or self-regulatory organization of which Fortune Securities and/or Wedbush Securities are members, but if the customer fails to make such election, by registered letter or telegram addressed to Fortune Securities and/or Wedbush Securities at Fortune Securities' and/or Wedbush Securities' main office, before the expiration of ten days after receipt of a written request from Fortune Securities and/or Wedbush Securities to make such election, then Fortune Securities and/or Wedbush Securities may make such election. The award of the arbitrators, or of the majority of them, shall be final, and judgment upon the award rendered may be entered in any court, State or Federal, having jurisdiction.

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1.14.2 No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action; or who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until:

- (i) The class certification is denied; or
- (ii) The class is decertified, or
- (iii) The Customer is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not

constitute a waiver of any rights under this agreement except to the extent stated herein.

1.15 MISCELLANEOUS The headings are for reference purposes only and shall not in any way affect meaning or interpretation of this Agreement.

2. SPECIFIC CASH ACCOUNT PROVISIONS

2.1 SATISFACTION OF INDEBTEDNESS The Customer agrees to immediately pay any indebtedness, and to pay any debit balance in the Account(s), including, but not limited to, any balance remaining when the Customer's Account(s) is closed. In accordance with applicable laws and regulations, interest may be charged (i) on purchases for which payment is not received until after the settlement of the transaction, and (ii) on monies paid out by Wedbush Securities, representing the proceeds of sales, prior to the settlement date. More importantly, there may be circumstances where Fortune Securities and/or Wedbush Securities will liquidate securities and/or other property in the Account without notice to the Customer to ensure that debt balance in the Account is paid.

2.2 TRANSACTIONS AND SETTLEMENTS All orders for the purchase or sale of securities and other property will be authorized by the Customer and executed with the understanding that an actual purchase or sale is intended and that it is the Customer's intention and obligation in every case to deliver certificates to cover any and all sales to receive any pay for certificates on or before the settlement date. Fortune Securities and/or Wedbush Securities are the Customer's agent to complete all such transactions and are authorized to make advances and expend monies as are required.

2.3 SALES BY CUSTOMER The Customer understands that all sell orders will be for securities owned ("long"). The Customer affirms that he/she deliver the securities on or before the settlement date.

3. SPECIFIC MARGIN ACCOUNT PROVISIONS

3.1 INTEREST Debit balances of the Account(s) of the Customer shall be charged with interest in accordance with Fortune Securities and/or Wedbush Securities interest policy and method of computation, as disclosed to the Customer pursuant to the provisions of Rule 10b-16 of the Securities Exchange Act.

3.2 DISCLOSURES REGARDING LIQUIDATIONS AND COVERING POSITIONS The Customer should clearly understand that notwithstanding a general policy of giving Customer's notice of a margin deficiency, Fortune Securities and/or Wedbush Securities are not obligated to request addition margin from the Customer in the event the Customer's Account falls below minimum maintenance requirements. More importantly, there may be circumstances where Fortune Securities and/or Wedbush Securities will liquidate securities and/or other property in the Account without notice to the Customer to ensure that minimum maintenance requirements are satisfied.

3.3 LIQUIDATIONS AND COVERING POSITIONS Fortune Securities and/or Wedbush Securities shall have the right to require additional collateral or to liquidate any securities and other property in which the Customer has an interest whenever, in Fortune Securities' and/or Wedbush Securities' discretion, it considers it necessary for the protection of either of them including, but not limited to the following occurrences: the failure of the Customer to promptly meet any call for additional fund and/or collateral; the filing of a petition in bankruptcy by or against the Customer; the appointment of a receiver is filed by or against the Customer; an attachment is levied against any account of the Customer or in which the Customer has an interest or; the Customer's death. In such event, Fortune Securities and/or Wedbush Securities are authorized to sell any and all securities and other property in any account of the Customer, whether carried individually or jointly with others, to buy in any or all securities or other property which may be short in account(s), to cancel any open orders, and to close any or outstanding contracts and to liquidate any margin collateral. The foregoing may be done without demand for margin collateral or additional margin collateral, without notice of sale or purchase, or without notice or advertisement. Demand and/or notice are hereby expressly waived by the Customer. Any such sales or purchases may be made at Fortune Securities' and/or Wedbush Securities' discretion on any exchange or other market where such business is usually transacted or at public auction or private sale, and Fortune Securities and/or Wedbush Securities may be the purchaser for Fortune Securities' and/or Wedbush Securities' own account. It is understood that a prior demand, or call, or prior notice of the time and place of such sale or purchase shall not be considered a waiver of Fortune Securities' and/or Wedbush Securities' right to sell or buy without demand or notice.

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3.4 MARGIN Customers who open margin account agree to maintain in all such accounts with Fortune Securities and/or Wedbush Securities such positions and margins as required by all applicable statutes, rules, regulations, procedures and custom, or as Fortune Securities and/or Wedbush Securities deem necessary or advisable. The Customer agrees to promptly satisfy all margin and maintenance calls.

3.5 SATISFACTION OF INDEBTEDNESS The Customer agrees to satisfy, upon demand, any indebtedness, and to pay debit balance remaining when the Customer's Account is closed, either partially or totally. The Customer Account(s) may not be closed without Fortune Securities and/or Wedbush Securities first receiving all securities and other property for which the Account(s) is short and all funds to pay in full for all securities and other property in which the Account(s) are long.

3.6 TRANSACTIONS AND SETTLEMENTS All orders for the purchase or sale of securities and other property will be authorized by the Customer and executed with the understanding that an actual purchase or sale is intended and that it is the Customer's intention and obligation in every case to deliver certificates to cover any and all sales or to receive and pay for certificates upon the Fortune Securities' and/or Wedbush Securities' demand. If Fortune Securities and/or Wedbush Securities make a short sale of any securities and other property at the Customer's direction or if the Customer fails to deliver to Fortune Securities and/or Wedbush Securities any securities and other property that Fortune Securities and/or Wedbush Securities have sold at the Customer's direction, Fortune Securities and/or Wedbush Securities are authorized to borrow the securities and other property necessary to enable Fortune Securities and/or Wedbush Securities to make delivery and the Customer agrees to be responsible for any cost or loss Fortune Securities and/or Wedbush Securities may incur, or the cost of obtaining the securities and other property if Fortune Securities and/or Wedbush Securities are unable to borrow it. Fortune Securities and/or Wedbush Securities are the Customer's agent to complete all such transactions and are authorized to make advances and expend monies as are required.

3.7 SALES BY CUSTOMER The Customer understands and agrees any order to sell "short" will be designated as such by the Customer, and that Fortune Securities and/or Wedbush Securities will make the order as "short". All other sell orders will be for securities owned ("long"). The Customer affirms that he/she will deliver the securities on or before the settlement date.

3.8 LOAN OR PLEDGE OF SECURITIES The Customer hereby authorizes Fortune Securities and/or Wedbush Securities to lend either to itself or to others any securities held by Fortune Securities and/or Wedbush Securities in the Customer's margin account and to carry such property in its general loans. Such property may be pledged, repledged, hypothecated or rehypothecated either separately or in common with other such property for any amounts due to Fortune Securities and/or Wedbush Securities thereon or for a greater sum, and Fortune Securities and/or Wedbush Securities shall have no obligation to retain a like amount of similar property in its possession and control.

4. DISCLOSURE ELECTION Rule 14b-1(c) of Securities Exchange Act requires Wedbush Securities holding securities in street name for a client to provide the client's name, address and securities position to the securities issuer, upon request, unless the client specifically objects to the disclosure of such information. According to the Rule, the issuing company would be permitted to use your name and related information for "Corporate Communications" purposes only.